

Docket No.: 096790.P430

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Minoru Hara

Application No.: 10/620,889

Filed: July 16, 2003

For: ROTOR FOR CENTRIFUGAL

SEPARATOR AND ADAPTER FOR

CENTRIFUGAL SEPARATOR

Examiner:

Art Group:

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

It is hereby stated that no item of information contained in the Information Disclosure Statement was cited in a patent office in a counterpart application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual associated with the filing or prosecution of the subject application more than three months prior to the filing of the Information Disclosure Statement.

The references were cited in Search Report dated November 14, 2003 (copies enclosed herewith) from the international searching authority. Also enclosed is U.S. reference 2003/0203800.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material patentability. Please charge any additional fee due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose

Respectfully submitted,

BLAKELY\_SOKOLOFF, TAYLOR & ZAFMAN LLP

Eric Hyman Reg. No. 30

12400 Wilshire Boulevard, Seventh Floor Los Angeles, California 90025 (310) 207-3800 CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Commissioner for Patents, P.O. Box 145Q, Alexandria, VA 22313-1450 on: \_\_/- 21-09

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Date

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## STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet of

Complete if Known				
Application Number	10/620,889			
Filing Date	July 16, 2003			
First Named Inventor	Minoru Hara			
Art Unit				
Examiner Name				
Attorney Docket Number	96790P430			

	U.S. PATENT DOCUMENTS							
Examiner Initials*	Document Number  Cite No.¹ Number - Kind Code² (if known)		Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
		US-2003/0203800	10-30-2003	Aizawa et al.				
		US-3998383	12-21-1976	Romanauskas et al.	<u>"</u>			
		US-5354254	10-11-1994	Zabriskie et al.				
		US-3133882	05-19-1994	Mitchell				
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	FOREIGN PATENT DOCUMENTS							
Examiner	Examiner Initials* Cite No.1	Foreign Patent Document			Pages, Columns, Lines,	тв		
Initials*		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
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Examiner	Date	
Signature	Considered	

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wir) 08/11/2003.

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# TRANSMITTAL FORM (to be used for all correspondence after initial filing) Total Number of Pages in This Submission Application No. 10/620,889 Filing Date July 16, 2003 First Named Inventor Minoru Hara Art Unit Examiner Name Attomey Docket Number 96790P430

ENCLOSURES (check all that apply)							
Fee Transmittal Fo	om	Drawing(s)	After Allowance Communication to Group				
Fee Attache	ed	Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences				
Amendment / Response		Petition	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)				
After Final Affidavits/de	eclaration(s)	Petition to Convert a Provisional Application	Proprietary Information  Status Letter				
Extension of Time	Request	Power of Attorney, Revocation Change of Correspondence Address					
Express Abandonn	nent Request	Terminal Disclaimer	Other Enclosure(s) (please identify below):				
Information Disclos	sure Statement	Request for Refund	EPO Search Report; Prior Art References (4); return				
PTO/SB/08  Certified Copy of F Document(s)	Priority	CD, Number of CD(s)	postcard				
Response to Missin Incomplete Applica	ation Filing Fee	Remarks					
Response to Parts under 1.52 or 1.53	'3/ CFR						
	SIGNATUR	E OF APPLICANT, ATTORNEY, OR AGI	ENT				
Firm or Individual name	or ( )						
Signature		Colon					
Date		Oppolog					
CERTIFICATE OF MAILING/TRANSMISSION							
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.							
Typed or printed nan	ne Melissa Stea	d , 0					
Signature Welson Head Date 1-21-04							

## FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

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Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

Complete if Known					
Application Number	10/620,889				
Filing Date	July 16, 2003				
First Named Inventor	Minoru Hara				
Examiner Name					
Group/Art Unit					
Attorney Docket No.	96790P430				

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Date

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)						
Check Credit card Money Other None 3. ADDITIONAL FEES								
Deposit Account	Large	Entity	Sma	II Entity	y			
Deposit	Fee Code	Fee (\$)	Fee Code	Fee (\$)	<del>-</del>	Description		FeePaid
Account Number 02-2666	1051	130	2051	65	Surcharge - late filing (	•		r <del>uur</del> au
Deposit	1052	50	2052	25	Surcharge - late provis cover sheet.			
Account Name Blakely, Sokoloff, Taylor & Zafman LLP	2053	130	2053	130	Non-English specification	<b>m</b>		
The Commissioner is authorized to: ( check all that apply)	1812	2,520	1812	2,520	For filing a request for		nation	
Charge fee(s) indicated below Credit any overpayments	1804	920 •	1804	920	<ul> <li>Requesting publication Examiner action</li> </ul>	of SIR prior to		
Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.	1805	1,840 *	1805	1,840	* Requesting publication	of SIR after		
Charge fee(s) indicated below, except for the filling fee					Examiner action			
to the above-identified deposit account	1251	110	2251	55	Extension for reply with			
FEE CALCULATION	1252	420	2252	210	Extension for reply with			
1. BASIC FILING FEE Large Entity   Small Entity	1253 1254	950 1,480	2253 2254	475 740	Extension for reply with Extension for reply with			
Fee Fee Fee Fee Description Fee Part	1255	1,210	2255	605	Extension for reply with			
Code (\$) Code (\$)	1404	330	2401	165	Notice of Appeal			
1001 770 2001 385 Utility filing fee 1002 340 2002 170 Design filing fee	1402	330	2402	165	Filing a brief in support	of an appeal		
1002 340 2002 170 Design filing fee	1403	290	2403	145	Request for oral hearin	9		
1004 770 2004 385 Reissue filing fee	1451	1,510	2451	1,510	Petition to institute a pe	ıblic use proceedi	ng	
1005 160 2005 80 Provisional filing fee	1452	110	2452	55	Petition to revive - una			
SUBTOTAL (1) (\$)	1453	1,330	2453	665	Petition to revive - unin			
2 EVEDA CLAIM FEEC	1501 1502	1,330 480	2501 2502	665 240	Utility issue fee (or reis Design issue fee	sue)		
2. EXTRA CLAIM FEES Extra Fee from Claims below FeePaid	1503	640	2503	320	Plant issue fee			
Total Claims X = X	1460	130	2460	130	Petitions to the Commi	ssioner		
Independent 3 = X =	1807	50	1807	50	Processing fee under 3	7 CFR 1.17(q)		
Multiple Dependent	1806	180	1806	180	Submission of Informa	tion Disclosure S	tmt	····
Large Entity Small Entity	8021	40	8021	40	Recording each patent property (times number	assignment per r of properties)		
Fee Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$)	1809	770	1809	385	Filing a submission after	r final rejection		
1202 18 2202 9 Claims in excess of 20	5		1003		(37 ČFR § 1.129(a))			<u> </u>
1201 86 2201 43 Independent claims in excess of 3	1810	770	2810	385	For each additional inve examined (37 CFR § 1.			
1203 290 2203 145 Multiple Dependent claim, if not paid 1204 86 2204 43 **Reissue independent claims over original	1801	770	2801	385	Request for Continued	Examination (RCI	≣)	
1204 86 2204 43 "Ressue independent claims over original patent	1802	900	1802	900	Request for expedited e	xamination		
1205 18 2205 9 **Reissue claims in excess of 20 and over original patent	Other fee	e (specify)			of a design application			
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SUBTOTAL (2) (\$)	* Reduced	by Basic Fi	ling Fee I	Paid	s	UBTOTAL (3)	(\$)	
**or number previously paid, if greater, For Reissues, see below								
SUBMITTED BY	l Re	egistratio	n No	$\overline{}$	<del></del>		lete (if applic	
Name (Print/Type) Eric S. Hyman		torney/Age		3	30,139	Telephone	(310) 20	07-3800

Based on PTO/SB/17 (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wtr) 08/11/2003. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Signature